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The Photochemistry of Phenylpropenyl Allenes. Suppression of the Di- $\pi$ -methane Rearrangement in an Acyclic Allenic System through Involvement of the Triplet Excited State<sup>1a,b</sup>

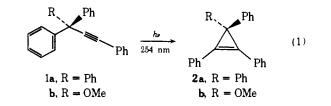
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Abstract: The photochemistry of cis- and trans-7-phenyl-2,5,5-trimethylhepta-2,3,6-triene was studied in order to extend the synthetic utility and mechanistic understanding of the di- $\pi$ -methane reaction. A systematic variation of the hybridization at various reactive centers in the migrating  $\pi$ -moiety is in progress. In this paper we describe the effect of introducing an sp hybrid center one carbon removed from the migrating terminus. The requisite cis and trans allenic di-*π*-methane substrates were synthesized and subjected to direct and sensitized irradiations. It has been observed, upon direct irradiation of the trans isomer, that 2-isopropylidene-anti-3-phenyl-5,5-dimethylbicyclo[2.1.0] pentane is the major photoproduct. The anticipated trans vinylcyclopropane, namely trans-3,3-dimethyl-2-(3',3'-dimethylallenyl)-1-phenylcyclopropane, as well as the noncyclized alternate cis geometric isomer is observed as minor photoproducts formed by way of competing processes. The allenic cis di- $\pi$ -methane substrate was observed to undergo primarily cis-trans isomerization with subsequent rearrangement of the trans isomer to a bicyclo[2.1.0]pentane and trans cyclopropane. Sensitized irradiations of both isomeric allenic substrates give bicyclo[2.1.0] pentane formation as well as cis-trans isomerization. No di- $\pi$ -methane rearrangement to cyclopropanes is observed. Quenching studies indicate that the triplet state of cis- and trans-7-phenyl-2,5,5-trimethylhepta-2,3,6-triene is the chemically significant excited state in the formation of the bicyclo [2.1.0] pentane. The suppression of the di- $\pi$ -methane rearrangement is discussed.

It is now recognized that di- $\pi$ -methane rearrangements constitute a basic class of photochemical transformations and considerable effort has been devoted to defining the scope and limitations of this intriguing reaction.<sup>2</sup> An area of considerable interest to us relates to the effect induced on the photochemical behavior of di- $\pi$ -methane substrates by altering hybridization at various centers of the migrating group. Of initial concern has been the photochemistry of di- $\pi$ -methane systems in which at least one of the  $\pi$ -moieties is a substituted acetylene.<sup>3</sup> For example, we have found that the photorearrangements of 1a and 1b, where one  $\pi$ -moiety is acetylenic in character and the other is incorporated within an aromatic ring afford the cyclopropenes 2a and 2b, respectively, as the *primary* photoproducts<sup>3c</sup> (eq 1).

More recently, our studies<sup>3a-c</sup> of the photochemistry of the isomeric acetylenes 3a and 3b have revealed that the

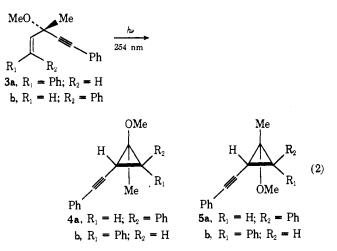


acetylenic cyclopropanes 4a and 5a as well as 4b and 5b, respectively, are the major photoproducts detected. Interestingly no cyclopropenes were observed although they constitute potential rearrangement products of 3a and 3b (eq 2). This work confirms that the formation of the cyclopropanes is highly stereospecific; i.e., 3a affords 4a and 5a, while 3b gives 4b and 5b. In this respect, the stereochemical course of the reaction of 3a and 3b, determined at low con-

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Compd irrad		Concn, mol/I.	Time, hr	% conversion	% composition			
	Solvent				7a	7b	8	9
 7a	Benzene	0.047	0.5	48.1	51.9	7.1	26.1	14.9
7a	tert-Butyl alcohol	0.047	0.5	39.9	<b>6</b> 0.0	3.4	23.6	12.9
7b	Benzene	0.028	0.5	51.6	18.0	48.4	21.3	12.3
7b	tert-Butyl alcohol	0.028	0.5	66.8	7.0	33.2	38.1	21.7
7b	tert-Butyl alcohol	0.028	3.0	100.0	0.0	0.0	58.5	41.5

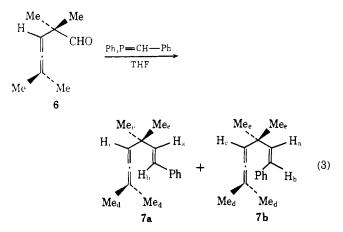
<sup>a</sup> Direct irradiations were conducted at 254 nm. <sup>b</sup> Product ratios were determined by 'H NMR on a Varian HA-100 spectrometer.



version, parallels the stereochemical results reported for less complex 1,4-pentadienyl systems.4

As a logical extension of our studies concerning the introduction of sp-hybrid centers at sites within a possible migratory group, we elected to study the photochemistry of an allenic system, which incorporates the sp center, one carbon removed from the potential migratory terminus. Surprisingly little attention has been devoted to the photochemistry of allenes.<sup>5</sup> To our knowledge no examples of allenic di- $\pi$ -methane rearrangements are known.<sup>2</sup> Since we also desired to assess the possible stereochemical consequences in such a reaction, the isomeric allenes 7a and 7b were selected for study. An unexpected, primary photoproduct obtained from 7a and 7b in addition to the anticipated allenic cyclopropane also permitted us to compare intramolecular cycloaddition reactions in an allenic di- $\pi$ -methane system with that in a similarly substituted 1,4-pentadiene analog.<sup>4a</sup> The allenic aldehyde 6, a readily available substrate,<sup>6</sup> provided an ideal precursor for the desired di- $\pi$ -methane substrates.

Syntheses and Structures of the Propenyl Allenes. The isomeric allenes 7a and 7b, are obtained in approximately equimolar amounts from the known 2,2,5-trimethyl-3,4hexadien-1-al (6)<sup>6</sup> by treatment with benzylidenetriphenyl-

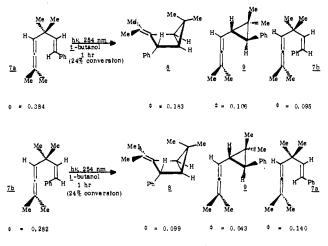


phosphorane in tetrahydrofuran (eq 3). The gross structures of 7a and 7b, separated by preparative GLC, were confirmed by mass spectrometry and combustion as well as infrared analyses. The stereochemistry of the allenic hydrocarbons, in turn, was deduced from observed spectral properties and mode of formation. Both 7a and 7b exhibit a band in their infrared spectra at 1950 cm<sup>-1</sup>, which confirms the presence of an allenic group. A band at 965 cm<sup>-1</sup> appears in the infrared absorption spectrum of 7a which is absent in that of 7b and is characteristic of trans-1,2-disubstituted alkenes.7

In addition, a comparison of the magnitude of the <sup>1</sup>H NMR olefinic coupling constants of 7a and 7b serves to confirm the infrared assignment. Coupling constants for trans vicinal vinyl protons in isomeric 1,2-disubstituted alkenes are generally larger than their cis counterparts<sup>8</sup> and such is the case for 7a and 7b where coupling constants of 15.5 and 12.5 Hz were observed for the trans and cis isomers, respectively.

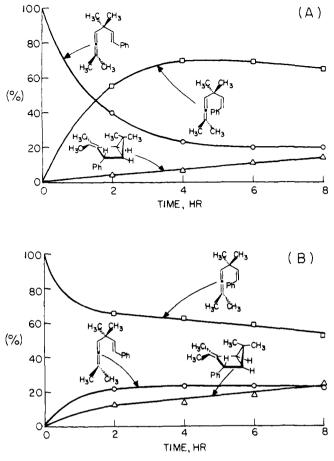
Photochemical Investigations. Direct irradiation of 7a in tert-butyl alcohol and in benzene at 254 nm leads to the formation of three photoproducts, the methylene bicyclo-[2.1.0]pentane (8) (a "housane"), the allenic cyclopropane 9, as well as 7b, the alternate geometric isomer. In a like . manner, direct irradiation of the cis isomer 7b under the same conditions affords the housane 8, the cyclopropane 9, and the geometric isomer 7a. The gross photochemical results together with the quantum yields<sup>9a</sup> obtained upon irradiation of 7a and 7b in tert-butyl alcohol are tabulated in Scheme I. The results of additional photolyses in which the effects of irradiation time and solvent were studied are de-





picted in Table I. As an external reference for comparing our quantum yields directly with those observed by Zimmerman for other di- $\pi$ -methane systems, the quantum yield of the rearrangement of a sample of 1,1,3-triphenyl-3,3dimethyl-1-propene9b was determined in our laboratories under conditions identical with those employed with 7a and 7b. The value obtained for the quantum yields is within ex-

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perimental error of that obtained previously by Zimmerman.<sup>9c</sup>

In contrast, sensitized irradiation of 7a and 7b in acetone  $(E_1 = 80 \text{ kcal})$  as a solvent sensitizer induces cis-trans equilibration.<sup>9d</sup> Surprisingly the housane 8 is the major photoproduct which is significant from a mechanistic standpoint. This represents the first example reported of bicyclo-[2.1.0]pentane formation in the condensed phase upon photolysis of a simple acyclic di- $\pi$ -methane substrate in which a triplet excited state is an obligatory intermediate. Other di- $\pi$ -methane systems are reported to afford housane products<sup>4a,10</sup> but, in these cases, the excited singlet states have been implicated. The results of acetone sensitized irradiations of 7a and 7b conducted at low conversion levels are shown in Figure 1. It is significant that, even after prolonged irradiation (>50% conversion), the cyclopropane 9 could not be detected among the photoproducts of 7a and 7b. It is also of interest that the relative concentrations of the isomers become approximately equal and the slopes equivalent after 8 hr (A) and 2 hr (B). Furthermore housane formation is found to occur more rapidly from 7b than 7a. It is noteworthy that the ratios of both 7b and 8 to 9 decrease upon irradiation of the trans allene 7a in the presence of a triplet quencher solvent. Thus the rates of reaction by two pathways, which sensitization experiments indicate are triplet in character, namely, trans to cis photoisomerization and -cyclization to housane, diminish relative to cyclopropane formation. The results of similar experiments obtained with the cis allene 7b are more complex. In this case, the ratios of both 7a and 8 to 9 increase. Although these data upon cursory analysis appear to indicate that formation of 9 has been quenched upon irradiation of 7a in a triplet solvent quencher, closer examination of the data in con-

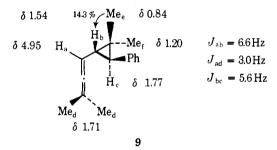
Table II. Results of Direct Irradiation in Piperylene<sup>a</sup>

Allene	Concn, mol/1.	Time, hr	% con- version	% composition <sup>b</sup>				
				7a	7b	8	9	
7a 7b	0.032 0.032	0.5 4.5	26.8 34.2	73.1 21.8	6.1 65.8	10.9 9.4	9.8 3.0	

 $^{a}$  Irradiations were conducted at 254 nm.  $^{b}$  Product ratios were determined by <sup>1</sup>H NMR.

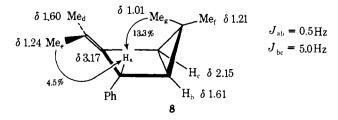
junction with other results clearly shows that this is not the case. This problem will be discussed at length in the Interpretive Discussion section and a self-consistent mechanism proposed which accommodates the relevant data. The results of the quenching experiments are summarized in Table II.

Structure of the Photoproducts. The structures of the photoproducts 8 and 9 were established from <sup>1</sup>H NMR and ir spectral data. Combustion analytical results and mass spectrometric data confirm that 8 and 9 are isomeric with the precursor allenes 7a and 7b. Retention of the allenic moiety and loss of the styryl chromophore in 9 was confirmed from the infrared spectrum, which exhibits a band at 1950 cm<sup>-1</sup> characteristic of allenes. Through <sup>1</sup>H NMR analysis, it was shown that long range coupling exists between Me<sub>d</sub> and H<sub>a</sub> ( $J_{ad} = 3.0$  Hz). Such long range coupling is observed in the <sup>1</sup>H NMR spectra of 7a and 7b ( $J_{cd}$ = 3.0 Hz) and is typical for structurally related allenic systems.<sup>6</sup> The trans stereochemistry assigned to 9 is apparent from the magnitude of the observed vicinal cyclopropyl hydrogen coupling constant ( $J_{bc} = 5.6 \text{ Hz}$ ) and from the nuclear Overhauser effects found for cyclopropane 9. Upon radio frequency irradiation of the methyl group of 9 labeled e, there is an NOE of 14.3% observed for  $H_b$ , while no such



effect is apparent for  $H_c$ , which confirms the proposed trans stereochemistry. An examination of the cyclopropyl hydrogen coupling constants for **4a** and **5a** ( $J_{trans} = 6.3$  Hz, for both)<sup>3a,b</sup> and **4b** and **5b** ( $J_{cis} = 9.5$  and 11.5 Hz, respectively)<sup>3a</sup> provides additional support for the assigned structure.

The structure of the housane 8 was deduced from its spectral properties, mode of formation, and its subsequent thermal conversion to the allene 7a. An examination of the <sup>1</sup>H NMR spectrum of 8 shows, in addition to the signal envelope for the aromatic protons, the presence of four distinct methyl groups and three unique saturated protons. The chemical shifts, proton assignments, and observed nuclear Overhauser effects are indicated on structure 8 below. The broadened singlet at  $\delta$  3.17 for H<sub>a</sub> and the sharp doublet, centered at  $\delta$  1.61 and obscured by the singlet at  $\delta$ 



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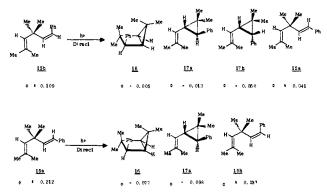
1.60, for H<sub>b</sub> ( $J_{ab} < 0.5$  Hz) provide confirmatory evidence for the indicated anti stereochemistry of the phenyl ring. The two lower field signals assigned to the protons of Med and Me, are broadened and are of lesser intensity relative to the two higher field signals for Mef and Meg. This is attributed to long range homoallylic coupling to Ha and this interpretation has been confirmed by double resonance experiments. Additional support for the stereochemistry assigned to 8 was obtained from the nuclear Overhauser effects observed. The indicated NOE's of 13.3 and 4.5% were observed between the signals assigned to Meg and Ha as well as Mee and Ha, respectively. Furthermore, if the bicyclo-[2.1.0] pentane photoproduct possessed the alternate epimeric structure 10, a coupling constant  $(J_{ab})$  of 2-4 Hz would be expected on the basis of published data.<sup>10a,11-14</sup> An examination of the appropriate vicinal coupling constants designated on the structurally related model com-

. Me<sup>11</sup> . Me Me Me Me Me Ph Me H Η н  $\mathbf{R}_2$ H 10 11a,  $R_1 = H_a$ ;  $R_2 = Ph$  $J_{ab} = 0.0 \text{ Hz}$ 11b,  $R_1 = Ph; R_2 = H_a$  $J_{ab} = 2.0 \text{ Hz}$ - H<sup>12</sup>  $_{-}H^{13}$ RCO. Η 0 Н H. H н 12a, R = Ph;  $J_{ab} = 0.0 Hz$ 13a, X = H; Y = OH12b.  $R = t \cdot Bu; J_{ab} = 0.0 Hz$  $J_{ab} = 0.5 \,\mathrm{Hz}$  $J_{\rm ac} = 1.0 \, {\rm Hz}$  $J_{a'b} = 4.0 \text{ Hz}$ 13b, X = OH; Y = H $J_{ab} = 0.48$  Hz  $J_{\rm ac}$  = 1.0 Hz  $J_{a'b} = 3.98 \text{ Hz}$  $H^{10a, 14}$ R R  $\mathbf{H}_{d}$ 14a,  $R_1 = R_2 = Ph$ ;  $R_0 = R_4 = H_a$ ;  $J_{ac} = J_{ad} = 4.12 Hz$ 14b.  $R_1 = R_2 = H_a$ ;  $R_3 = R_4 = Ph$ ;  $J_{ac} = J_{ad} = 0.01 Hz$ 14 c,  $R_1 = R_4 = Ph$ ;  $R_2 = H_a$ ;  $R_a = H_b$ ;  $J_{act} = 0.60 Hz$  $J_{\rm inc} = 4.57 \; {\rm Hz}$ 

pounds 11-14 provides confirmatory evidence that the magnitude of such constants may be used reliably in structural assignments of this type.

Furthermore, the housane 8 when thermolyzed at 100° for 3 hr or upon thermolysis during gas chromatography (injector port > 220°) reverts to the allenes 7a and 7b in the former case and only 7a in the latter case, further substantiating the isomeric nature of 7a, 7b, and 8. Such a reaction is not without precedent in bicyclo[2.1.0] pentane chemistry.<sup>15</sup>

Scheme II

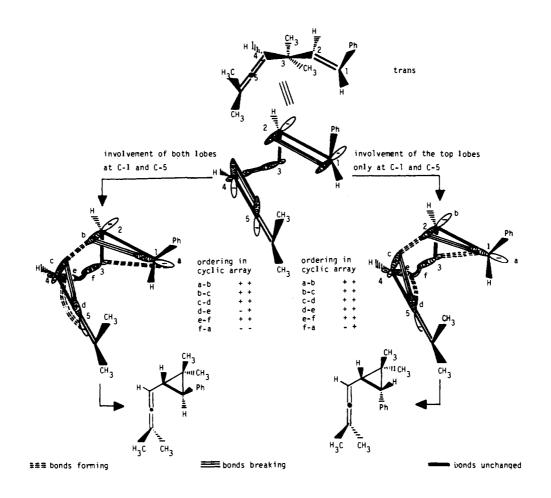


## **Interpretive Discussion**

The results of the photochemical investigations of 7a and 7b, which are described herein, contrast in a striking manner to those results obtained for the olefinic analogs 15a and 15b (Scheme II). Pertinent points related to the photoreactions of 7a and 7b, such as excited state multiplicity and stereochemistry, will be considered and the influence of an allene moiety on the di- $\pi$ -methane rearrangement discussed. A self-consistent mechanism for the photoreactions of both 7a and 7b which accounts for the observed data will also be proposed and discussed.

The formation of the di- $\pi$ -methane rearrangement product, cyclopropane 9, occurs exclusively from the singlet excited state. This observation parallels the results which have been obtained with other acyclic di- $\pi$ -methane substrates. This result is also in accord with the trend that has emerged in the case of acyclic di- $\pi$ -methane systems that the di- $\pi$ -methane rearrangements proceed via the singlet excited state.<sup>3,4,10,11</sup> Furthermore, the stereochemical results of allenic cyclopropane formation described in this paper, upon initial examination, appear to contrast with the results obtained for 15a and 15b<sup>4a</sup> and other related olefinic<sup>4b</sup> and acetylenic<sup>3a,b</sup> systems. Only one cyclopropane 9 is obtained from the photolysis of 7a or 7b,<sup>16</sup> and thus it would appear that, in the case of these allenes, the di- $\pi$ -methane rearrangement is a nonstereospecific process; however, this is not the case. The quantum yields tabulated in Scheme I indicate that, in fact, the cis isomer 7b is undergoing rapid cis-trans equilibration to the trans isomer 7a and this in turn is converted to the allenic trans cyclopropane 9 in a secondary photochemical reaction. Surprisingly, the processes which compete with the di- $\pi$ -methane rearrangement, namely formation of the housane 8 and geometric isomerization, appear more efficient than the di- $\pi$ -methane rearrangement. Thus, while the di- $\pi$ -methane rearrangement is the minor pathway observed in the case of 7a, it is completely suppressed in the case of 7b. The fact that 9 is formed at all in the photolysis of 7b is attributed to the fact that 7a has an absorbance which is almost tenfold that of 7b at 254 nm. Therefore, as the concentration of 7a increases upon irradiation of 7b, 7a preferentially absorbs the light and is transformed into both 8 and 9. The formation of 9 exclusively from 7a implies that the highly regioselective<sup>3a,b,4</sup> and stereospecific<sup>2,4</sup> nature of the di- $\pi$ -methane rearrangement remains operative; however, the stereospecificity in the allenic system under study is masked by more efficient competing processes which dominate the excited state behavior of 7a.

On the basis of the quantum yields obtained for the di-  $\pi$ -methane rearrangement of the allenic systems 7a and 7b with those of other substrates such as the dienes 15a and 15b (Scheme II) it might appear that allenic migration is slightly more favorable than that of a vinyl or for that mat-



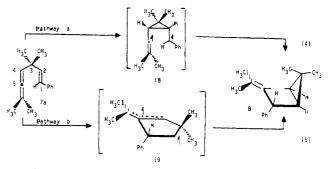
## Figure 2.

ter phenyl group<sup>9c</sup> although less favorable than phenylethynyl migration.<sup>3e</sup> This presupposes, however, that the quantum yields for all partitioning, photophysical processes which compete with the singlet photorearrangements are similar in magnitude which is improbable. In fact in the case of **7a** and **7b** intersystem crossing from the singlet state competes to such an extent with rearrangement that housane **8** formation exceeds that of allenic cyclopropane production.

The orbital array for the conversion of **7a** to **9** is depicted in Figure 2 using the Möbius-Hückel approach. It is apparent that the process may be described as a 4n + 2 ( $\pi 2_a + \sigma^2 a + \pi^2 a$ ) electrocyclic process which is of lowest energy ("allowed") in the first excited state.<sup>17</sup>

In the formation of the methylenehousane 8, we again see a departure from the results reported for the photochemistry of the alkenes 15a and 15b, related to the allenes 7a and 7b.4a Sensitization and quenching studies confirm that the housane 8 arises primarily from the excited triplet state of 7a and 7b. This suggests that a facile mode exists for intersystem crossing of the singlet states of 7a and 7b to the triplet manifold which apparently is not accessible in the case of 15a and 15b and is probably due to the influence of the allene moiety.<sup>18</sup> In contrast, the housane 16 derived from 15a and 15b is formed exclusively from the singlet excited state. The fact that an efficient mode of intersystem crossing manifests itself in the allenic system is probably the major reason why the di- $\pi$ -methane rearrangement is suppressed. This efficient mode for intersystem crossing reduces the lifetime of the excited singlet state to the point that efficient di- $\pi$ -methane rearrangement does not take place. Another unusual aspect of the reactions of 7a and 7b became evident during the quenching studies, the results of which are presented in Table II. It may be seen that the formation of 8 is incompletely quenched in neat piperylene. This result may be rationalized in at least two ways: (a) 8 is formed from 7a and 7b at least partially by way of the singlet excited state; and/or (b) the intramolecular cycloaddition of 7a and 7b to give 8 competes favorably with the rate of diffusion-controlled quenching ( $\sim 10^{10} \text{ sec}^{-1}$ ). Precedent exists for the latter process in other systems and, for example, is documented in the literature of 2,5-cyclohexadienone photochemistry.<sup>19</sup>

Two routes may be envisioned for formation of the housane 8 from the triplet excited state, and these are depicted in eq 4 and 5: pathway a in which the intermediacy of a benzyl and vinyl diradical 18 is proposed (2,4-bonding) and pathway b, which involves the intermediacy of an allylic and a tertiary diradical 19 (1,5-bonding).<sup>20</sup> Either pathway

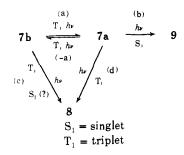


should give rise to the more thermodynamically stable housane 8, i.e., since a triplet mechanism involving spin inversion prior to cyclization precludes a concerted closure and formation of the more stable epimer is not unexpected.

In Scheme III, a self-consistent mechanism is presented which accounts for the observed photoreactions of 7a and 7b. Upon *direct* irradiation of 7a in *tert*-butyl alcohol, three

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Scheme III



photoproducts are observed, 7b, 8, and 9. As indicated in Table III, the ratio of housane 8 to cyclopropane 9 remains relatively constant as the reaction progresses which supports the contention that 8 and 9 are primary photoproducts since no additional products are observed. The absorbance of 7a is approximately tenfold that of 7b at 254 nm, and therefore small amounts of 7b produced as a minor photoproduct from 7a will absorb an insignificant amount of the incident radiation. Thus photoreactions of 7b are assumed to be negligible when compared with those of 7a. As previously noted, triplet sensitized reactions of 7a give rise to 7b and 8, while the cyclopropane 9 is conspicuously absent as a photoproduct. Clearly 9 must be formed in a singlet process while 7b and 8 must arise predominantly from the triplet manifold. The results of supporting quenching studies using piperylene as a solvent quencher are more complex to interpret. The quencher has an absorption maximum in the neighborhood of 220 nm with a high extinction coefficient and therefore absorbs most of the incident radiation. The substrate 7a will absorb less radiation and, as expected, a decrease in absolute yield of product per unit time is observed. Since we are interested solely in relative changes in the ratio of photoproduct concentrations in the presence and absence of the quencher, the reduced conversion level is not crucial. Furthermore, we cannot conclude that all processes are quenched since studies with triplet sensitizers show that the cyclopropane 9 is not formed from the populated triplet state and thus must be formed in a singlet process. From the quantum yield data and the data found in Tables I, II, and III, it is apparent that the quencher reduces the ratio of 8:9 from approximately 2:1 to 1:1 for the photoreactions of 7a, and thus the conversion of 7a to 8 is partially, but not completely, quenched. The reason for incomplete quenching as discussed previously relates to the fact that intramolecular cycloaddition to give 8 not unexpectedly can compete effectively with the diffusion-controlled quenching process. Thus the quenching studies support the conclusions based on sensitization experiments relative to the multiplicities of the photoprocesses which are operative in the case of 7a, namely, that 7b and 8 are excited triplet state products while 9 is formed from the excited singlet manifold (Scheme III).

The results of the irradiation of 7b where isomerization to the trans isomer 7a is the dominant process are more difficult to rationalize. Our proposed mechanism is nevertheless straightforward and also consistent with the observed facts. Direct irradiation of 7b in *tert*-butyl alcohol gives three photoproducts, 7a, 8, and 9; however, as seen in Table IV, the ratio of housane to cyclopropane 9 decreases as the conversion level increases, in contrast to the results observed for 7a. This suggests that one or more of these products is formed at least in part in a secondary photoprocess. Since cis to trans isomerization is known to be the dominant photoprocess which occurs upon *direct* irradiation of 7b, the concentration of 7a increases rapidly with time. In view of the fact that the absorbance of 7a is tenfold that of 7b at

Table III. Ratios of 8:9 with Respect to Percent Conversion on Direct Irridation<sup>a,b</sup>

Compd	% con- version	Relat	Ratio		
irradiated		7b	8	9	8:9
7a	24	1.0	1.9	1.1	1.7:1.0
7 <b>a</b>	40	1.0	6.9	3.8	1.8:1.0

<sup>a</sup> Direct irradiations were conducted at 254 nm. <sup>b</sup> Product ratios were determined by 'H NMR on a Varian HA-100 spectrometer.

Table IV. Ratios of 8:9 with Respect of Percent Conversion on Direct Irradiation<sup>a,b</sup>

Compd	% con- version	Relat	Ratio		
irradiated		7a	8	9	8:9
7b	24	1.0	0.7	0.3	2.3:1.0
7Ъ	67	1.0	5.4	3.1	1.8:1.0

<sup>a</sup> Direct irradiations were conducted at 254 nm. <sup>b</sup> Product ratios were determined by <sup>'</sup>H NMR on a Varian HA-100 spectrometer.

254 nm, it is evident that, as the concentration of 7a increases and 7b decreases, a larger percentage of the incident radiation will be absorbed by the former. Obviously once the concentration of 7a has reached only one-tenth that of 7b, it will absorb as much radiation as 7b. Thus photoproducts derived from 7a soon begin to predominate as the conversion level increases; i.e., significant quantities of 8 and 9 are formed from 7a in secondary photochemical processes.

Sensitized photolysis of 7b produces two photoproducts, the trans allene 7a and the housane 8. No cyclopropane 9 is formed from the populated triplet state, indicating that 9 is formed in a singlet photochemical process while the other photoproducts are formed primarily through the triplet manifold. It is also significant in light of the results of quenching studies with 7b that the housane 8 is formed more rapidly from the cis allene 7b than from the trans allene 7a in triplet sensitized reactions (Figure 1). Upon irradiation of 7b in the presence of piperylene as a solvent triplet guencher, the ratio of 8:9 is increased, as indicated from the quantum yield data and the data in Tables I, II, and IV, from approximately 2:1 to 3:1. Based on these data and corresponding data for 7a, it might be argued that formation of 8 from 7a and 9 from 7b is quenched; however, when the other data previously discussed are considered in conjunction with these facts, this interpretation may be excluded. As previously stated, the cyclopropane 9 has been shown to be produced exclusively from the singlet excited state while 8 at least primarily arises from the triplet state in the case of both 7a and 7b. Furthermore, as we have noted 7a and 7b rapidly equilibrate via the triplet state, and this fact in conjunction with the difference in extinction coefficients for these two compounds supports our contention that 9 arises solely from 7a. The proposed explanation for the increase in the ratio of 8:9 is consistent with the mechanism advanced in Scheme III. In the presence of a triplet quencher, it is apparent that the rate of reaction by triplet paths a and c should be decreased while that through b should be essentially unaffected; however, reaction by path b is dependent upon the previous step a, which is reduced, and thus step b should also be diminished. Furthermore, it should be reiterated that the rate of formation of 8 from 7a through triplet path d is lowered in the presence of the triplet quencher to the extent that the photoconversions d and b are equally efficient. In addition, those data from sensitized irradiation studies conducted on 7a and 7b in acetone which are tabulated in Figure 1 indicate that reaction by path c is more facile than by path d. Even those molecules of 7b which undergo conversion through path a to 7a and subsequently ab-

sorb another quantum of light can partition by any or all of three separate paths, -a, b, or d. Thus the probability of a molecule reacting by path a followed by path b or d, twophoton processes, is less than that of a molecule undergoing reaction through path c, a one-photon process, particularly since paths b and d are less efficient than path c. It is not unreasonable then to find that the ratio of 8 to 9 is increased from 2:1 to 3:1 for 7b, in a solvent quencher. In summary the probability of formation of 8 by a partially quenched, one-photon, triplet reaction or alternatively in part via a singlet mechanism as previously discussed is more probable than formation of 9 by a two-photon process in which the first step is a partially quenched, triplet reaction, where three nearly equally efficient subsequent photoprocesses compete for consumption of the product 7a upon absorption of the second photon of light. The increase in the relative quantity of 8 vs. 9 produced in the quenching experiments with 7b is quite plausible and, in the absence of additional data, the self-consistent mechanism for the photoreactions of both 7a and 7b outlined in Scheme III represents a viable mechanistic proposal.

In addition, several points regarding the observations presented here deserve further comment and emphasis. The unusual feature of the photochemistry observed for 7a and 7b is that cycloaddition leading to 8 represents the major reaction pathway and that the di- $\pi$ -methane process, affording 9, constitutes the minor reaction mode. These results are to be contrasted with those obtained for the structurally related acyclic 1,4-dienic systems,4a where vinylcyclopropane rather than bicyclo[2.1.0]pentane formation is the major reaction pathway.<sup>10a</sup> Recently, it has been asserted<sup>10a</sup> that, in the absence of gem-dimethyl substitution at the insulating C-atom flanked by the  $\pi$ -moieties, bicyclo-[2.1.0]pentane formation should dominate in 1,4-pentadienyl systems at least in the singlet manifold. In the present case, the housane 8 is the major photoproduct formed upon direct irradiation despite the fact that such substitution is present. Furthermore, in the case of 7a, the fact that 9 is the sole di- $\pi$ -methane product formed at low conversion parallels previous observations in related systems that di- $\pi$ -methane rearrangement proceeds in a highly stereospecific manner and suggests that the reaction is largely concerted in character.<sup>3b,4</sup> In addition the formation of the bicyclo-[2.1.0]pentane 8 having the anti configuration from 7a and 7b is primarily triplet in character although a singlet component has not been excluded. Thus sequential bond formation involving diradical intermediate(s) with overall stereochemistry being controlled by thermodynamic factors provides a plausible mechanistic explanation for formation of the more stable epimer 8. It should be noted that formation of 8 in part through a concerted pathway from 7a and 7b is consistent with the principles of orbital symmetry conservation.<sup>21</sup> Two possible modes of disrotatory cyclization are available for 7a and 7b and thermodynamic arguments may again be invoked to rationalize the preferential formation of epimer 8. Finally, the formation of 8, having the anti configuration, as the sole bicyclic photoproduct from 7a and 7b, is in contrast to the formation of the single epimer 16 having the syn configuration from the related diolefinic system.<sup>4a</sup> Possibly this apparent inconsistency may reflect subtle electronic and/or steric differences between the olefinic and allenic moieties.4a

The pronounced influence of the allene moiety on the course of the di- $\pi$ -methane rearrangement of 7a and 7b constitutes a radical departure from the photochemistry which is observed for the acetylenes 3a and 3b, both of which contain sp hybrid centers (albeit located at both termini of the migrating center rather than one as in the case of 7a and 7b), and for the olefinic analogs of 7a and 7b,

namely 15a and 15b. The major difference in the photochemistry of the allenes 7a and 7b and the olefins 15a and 15b appears to be related to the efficiency with which 7a and 7b undergo intersystem crossing to the triplet manifold, thus causing a decrease in the efficiency with which the singlet state may undergo reaction. Our efforts in this area are continuing with the ultimate hope of obtaining information on the migratory aptitudes of various groups in the di- $\pi$ -methane reaction.

# **Experimental Section**

General. Infrared spectra were recorded on Perkin-Elmer 337 and 257 spectrophotometers and were calibrated against polystyrene. The ultraviolet spectra and extinction coefficients were determined on a Cary Model 15 spectrophotometer. The proton magnetic resonance spectra were obtained on Varian A-60, HA-100, and Hitachi Perkin-Elmer R-20B spectrometers using deuteriochloroform as the solvent with 1% tetramethylsilane as the internal standard unless otherwise specified. The mass spectral studies were conducted using a Hitachi Perkin-Elmer RMU-6E spectrometer.

Analytical gas chromatograms were obtained on a Perkin-Elmer Model 900 gas chromatograph equipped with a flame ionization detector using Perkin-Elmer support coated open-tubular (SCOT) capillary columns. Integration of peak areas was achieved either by multiplication of peak height by peak width at half-height or with a Hewlett-Packard Model 3370A electronic digital integrator. Preparative gas chromatographic separations were achieved on an Aerograph Model A-90P gas chromatograph equipped with a 7 ft  $\times$  0.25 in. in DC-550 (30% on Chromosorb P) column, operated isothermally at a column temperature of 125°, injector temperature of 175°, detector temperature of 243°, and a helium flow rate of 160 ml/min. Woelm neutral alumina with added phosphor was used for column chromatographic separations in which quartz columns were used. Elemental analyses were performed by Galbraith Laboratories, Knoxville, Tenn.

Direct irradiations were conducted in serum capped 15 cm  $\times$ 12.5 mm i.d. fused quartz tubes. Sensitized irradiations were run in serum capped Pyrex round-bottomed flasks or Pyrex test tubes. A Rayonet RPR-100 reactor (The Southern New England Ultraviolet Co., Middletown, Conn.) equipped with 16 8-W low-pressure lamps was employed as a light source unless otherwise specified. The lamps utilized were either G8T5 (254 nm) or F8T5 (broad emission at 350 nm) types. A Rayonet MGR-100 merrygo-round or MGR-200 mini-go-round apparatus rotated at 5 rpm<sup>22</sup> (The Southern New England Ultraviolet Co., Middletown, Conn.) was utilized in all kinetic and quantum yield studies to ensure uniform exposure of individual samples. The photoreactions were run at conversion levels as low as possible to allow detection of the primary photoproducts and to reduce errors in observed quantum yields obtained as a result of the occurrence of secondary photochemical processes. The solutions to be irradiated were degassed either by nitrogen sparging for 25 min or by the multiple freeze-thaw cycle technique.

cis- and trans-7-Phenyl-2,5,5-trimethylhepta-2,3,6-triene. A solution of 20.4 g (0.15 mol) of 2,5,5-trimethylhexa-2,3-dien-6-al  $(6)^6$  was slowly added to a stirred solution of benzylidenetriphenylphosphorane, which had previously been generated by heating benzyltriphenylphosphonium chloride (58.2 g, 0.15 mol) under reflux with 10 g of sodium hydride in 500 ml of tetrahydrofuran for 1 hr. The temperature was maintained at 40° during the addition and, after 24 hr, the initially reddish-orange solution had acquired a brown color. Solid ammonium chloride (1-2 g) was then added, with stirring, until the gas evolution had subsided. The solvent was evaporated under reduced pressure, and the resulting dark brown mass was subjected to vacuum distillation [82-100° (0.15 Torr)]. A colorless liquid was obtained (12.7 g) which was then chromatographed  $(3 \times 20 \text{ cm column})$  in hexane on Woelm neutral alumina, grade III, with phosphor added, in order to remove the last traces of triphenylphosphine oxide. The mixture of products obtained by chromatography, after evaporation of the hexane, was shown by GLC and <sup>1</sup>H NMR to consist of an equimolar mixture of trans-7-phenyl-2,5,5-trimethylhepta-2,3,6-triene (7a) and cis-7phenyl-2,5,5-trimethylhepta-2,3,6-triene (7b). The second isomer to emerge having a GLC retention time of 51.3 min was identified as 7a: ir (CHCl<sub>3</sub>) 1950 (C=C=C), 1600 (phenyl), 1250, 965 (trans-1.2-disubstituted alkene).<sup>7</sup> 860, 690 cm<sup>-1</sup>; uv  $\lambda_{max}$  (MeOH) 252 ( $\epsilon$  19300); <sup>1</sup>H NMR (CDCl<sub>3</sub>)  $\delta$  7.19 (m, 5 H, aromatic), 6.35 (d, 1 H, J = 15.5 Hz, olefinic), 6.05 (d, 1 H, J = 15.5 Hz, olefinic), 4.94 (septet, 1 H, J = 3.0 Hz, allenic), 1.70 (d, 6 H, J = 3.0 Hz, allenic methyls); mass spectrum m/e M<sup>+</sup> 212.

Anal. Calcd for  $C_{16}H_{20}$ : C, 90.51; H, 9.49. Found: C, 90.22; H, 9.73.

The alternate isomer 7b has a GLC retention time of 30.0 min which permits baseline separation: ir (CHCl<sub>3</sub>), 1950 (C=C=C), 1600 (phenyl), 700 cm<sup>-1</sup>; uv  $\lambda_{max}$  (MeOH) 228 ( $\epsilon$  17500); <sup>1</sup>H NMR (CDCl<sub>3</sub>)  $\delta$  7.15 (m, 5 H, aromatic), 6.37 (d, 1 H, J = 12.5 Hz, olefinic), 5.55 (d, 1 H, J = 12.5 Hz, olefinic), 4.94 (septet, 1 H, J = 3.0 Hz, allenic), 1.62 (d, 6 H, J = 3.0 Hz, allenic methyls), 1.08 (s, 6 H, gem-dimethyls); mass spectrum m/e M<sup>+</sup> 212.

Anal. Calcd for  $C_{16}H_{20}$ : C, 90.51; H, 9.49. Found: C, 90.68; H, 9.30.

Direct Irradiations. Procedure A. The data summarized in Table 1 were obtained by subjecting weighed samples of both 7a and 7b to irradiation in both benzene and *tert*-butyl alcohol solutions at 254 nm for varying periods of time. In each case, after removal of solvent, a pale-yellow oil was obtained whose composition was determined quantitatively by <sup>1</sup>H NMR analysis.

Procedure B. In a typical preparative irradiation, a solution of a mixture of 7a and 7b (1:1), 2.0 g (9.4 mmol), in 60 ml of tert-butyl alcohol was irradiated at 254 nm for 15 hr until the starting material was nearly consumed (>95% conversion). After removal of the solvent, the resulting product mixture was subjected to preparative GLC. The first product to emerge (27.1 min) was trapped in a "U" tube at -78° and crystallized to a solid melting at ambient temperature. This product was shown to be 2-isopropylidene-anti-3phenyl-5,5-dimethylbicyclo[2.1.0]pentane (8): ir (liquid film) 3010, 2950, 2910, 1600 cm<sup>-1</sup>; <sup>1</sup>H NMR (CDCl<sub>3</sub>)  $\delta$  7.18 (s, 5 H, aromatic), 3.17 (br s, 1 H, benzylic proton), 2.15 (br d, 1 H, J =5.0 Hz, allylic bridgehead proton), 1.61 (d, 1 H, J = 5.0 Hz, bridgehead proton), 1.60 (s, 3 H, isopropylidene methyl anti to phenyl), 1.24 (s, 3 H, isopropylidene methyl syn to phenyl), 1.21 (s. 3 H, exo-cyclopropyl methyl), 1.01 (s. 3 H, endo-cyclopropyl methyl); mass spectrum m/e M+ 212.

Anal. Calcd for  $C_{16}H_{20}$ : C, 90.51; H, 9.49. Found: C, 90.60; H, 9.39.

The second product, an oil which could not be crystallized, with retention time of 36.6 min was shown to be *trans*-3,3-dimethyl-2-(3',3'-dimethylallenyl)-1-phenylcyclopropane (9): ir (liquid film) 3070, 3050, 3010, 2960, 2910, 2860, 1950 (C=C=C), 1600 (C=C), 1360 (split, gem-dimethyls), 745, 700 cm<sup>-1</sup>; <sup>1</sup>H NMR (CDCl<sub>3</sub>)  $\delta$  7.14 (m, 5 H, aromatic), 4.95 (m, 1 H, allenic), 1.77 (d, 1 H, J = 5.6 Hz, benzylic cyclopropyl), 1.54 (dd, 1 H, J = 5.6, J = 6.6 Hz, allenic cyclopropyl), 1.71 (d, 6 H, J = 3.0 Hz, allenic methyls), 1.20 (s, 3 H, cyclopropyl methyl syn to phenyl), 0.84 (s, 3 H, cyclopropyl methyl syn to phenyl), 0.84 (s, 2 H, cyclopropyl methyl anti to phenyl); mass spectrum m/e M<sup>+</sup> 212.

Anal. Calcd for  $C_{16}H_{20}$ : C, 90.51; H, 9.49. Found: C, 90.45; H, 9.54.

Sensitized Irradiations. The data tabulated in Figure 1 were obtained by irradiating identical weighed samples of 7a and 7b independently in 10 ml of acetone at 350 nm for varying periods of time. A merry-go-round apparatus was employed. The solvent was removed in vacuo, and the composition of the photoreaction mixture was determined quantitatively by <sup>1</sup>H NMR.

Quenching Studies. Weighed samples of 7a and 7b were irradiated in neat piperylene at 254 nm. In each case, removal of the solvent in vacuo provided a pale-yellow oil. Here again the composition of the photoreaction mixture was determined by <sup>1</sup>H NMR. These results are summarized in Table II.

Quantum Yield Measurements. Quantum yields were determined in *tert*-butyl alcohol solutions  $(0.11-0.15 \ M)$ , contained in matched quartz cuvettes, at 254 nm. Potassium ferrioxolate actinometry was employed.<sup>91</sup> A mini-go-round was used to ensure uniform irradiation of actinometer and sample solutions. The quantitative determination of the composition of the irradiated solutions was achieved by <sup>1</sup>H NMR. Quantum yields which are quoted represent an average of four determinations.

Thermolysis of the Methylene Bicyclo[2.1.0] pentane 8. A solution of 7a in 400 ml of dry, distilled reagent-grade acetone was ir-

radiated at 350 nm for 12 hr. After removal of the solvent, the composition of the reaction mixture was found, by <sup>1</sup>H NMR, to be 7a (10%), 7b (41%), and 8 (49%). The reaction mixture was then flushed with nitrogen (3 hr) and heated at 100° for 3 hr, while maintaining a positive pressure of nitrogen in the vessel. The cooled reaction mixture was dissolved in diethyl ether and eluted on a column ( $3 \times 2.5$  cm) of Woelm neutral alumina grade 111, to remove traces of yellow polymeric material. After removal of the solvent from the eluate, the composition of the mixture was determined as previously described and found to be 7a (22%), 7b (73%), and 8 (5%). In a control experiment, it was demonstrated that 8 was stable under the chromatographic conditions which were employed in this experiment.

In an additional experiment, a pure sample of 8 was injected into the gas chromatograph, where the injector port was maintained at 220°. This resulted in nearly quantitative isomerization of 8 to 7a.

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# Reactions of Oxophlorines and Their $\pi$ Radicals<sup>1</sup>

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Abstract: A detailed investigation of the reactivities of octaethyloxophlorine (1), its tautomer meso-oxyoctaethylporphyrin (2), and its metal complexes is presented. These compounds can be reversibly oxidized in a one-electron step. The oxidation potentials in neutral solutions are about 300 mV lower than those observed for related porphyrins. In alkaline solutions, an anion is formed by deprotonation, and these potentials are further lowered by 300 mV. Irradiation of the zinc complex with visible light first leads to a  $\pi$  radical. In the absence of oxygen, the  $\pi$  radical forms an ether linkage between the bridge oxygen and the methylene Carbon of an ethyl group whereas, in the presence of oxygen, the radical is oxidized and decarbonylated, leading to the formation of an oxaporphyrin and carbon monoxide. A simple semiquantitative estimation of carbon monoxide using Dräger tubes is described. The macrocycle of the oxaporphyrin was converted by base to a biliverdin derivative. This reaction was fully reversed by acetic anhydride. The  $\pi$  radicals of oxophlorines dimerize in some organic solvents  $(\Delta H_{293^\circ} = -14 \text{ kcal mol}^{-1})$ , and the rate constant obtained by temperature-jump methods  $(k_R = 2 \times 10^6 M^{-1} \text{ sec}^{-1})$  is considerably smaller than that observed for unsubstituted porphyrin radicals. Further oxidation of 1 with Tl(III) salts leads to  $\alpha,\gamma$ -dioxoporphodimethenes. Electrophilic substitutions in  $\alpha$ -oxyporphyrins occur in the  $\gamma$  position. Some redox potential measurements on the amino and thio analogs of 1 are also presented, and a rationalization of the experimental data on the meso-substituted and unsubstituted porphyrin ligands aided by MO calculations is offered. The central conclusion drawn from experimental and model calculation is that meso hydroxylation of a porphyrin changes its benzene-type reactivity to that of a polyene.

Oxophlorines 1 and 3 and oxyporphyrins 2 and 4 constitute pairs of tautomers<sup>3</sup> which play an important part in the catabolism of heme<sup>4</sup> and are chemically different from unsubstituted porphyrins. Except for the formation of derivatives of the hydroxy group of 2 and the oxidative cleavage of iron complexes of 2,<sup>3,5-7</sup> no further reactions of these systems have been investigated. We have found that oxophlorines and their metal complexes are oxidized at low potentials to  $\pi$  radicals which undergo a variety of interesting secondary reactions in high yields.

#### **Results and Structural Assignments**

 $\pi$  Radicals and Their Diamagnetic Dimers. Octaethyloxophlorine la was oxidized by air, Fe(III) salts, and a variety of other agents to the  $\pi$  radical 6. The magnetic susceptibility in chloroform was 1.6  $\mu_{\rm B}$ . The neutrality of the radical follows from elemental analysis (no counterion) and chromatographic behavior. The electronic spectrum of 6a consists of one band covering the whole visible range with a broad peak around 620 nm extending into the near infrared and a weak Soret band at 400 nm (Figure 1). Addition of acids to a chloroform solution of this radical leads to a diamagnetic cation of the nonoxidized oxophlorine 1a, which shows a strong visible absorption at 680 nm.<sup>3</sup> In the infrared, both 1a and 6a produce strong bands around 1600 cm<sup>-1</sup>, which indicates some double-bond character of the C=O bonds. The reaction  $1a \rightarrow 6a$  is fully reversible with reductants.

The radical **6a** seems to be always present in small amounts, even in spectroscopically pure preparations of 1a, and hence one always finds an ESR signal<sup>8-10</sup> and no <sup>1</sup>H NMR<sup>6,8-10</sup> spectra. At low temperatures, however, the ESR signal disappears,<sup>9</sup> the electronic spectrum changes considerably, and well-defined, although broad, proton resonance signals are found.9 A similar behavior has been observed for unsubstituted metalloporphyrin cation radicals<sup>11</sup>